

COLLECTIVE AGREEMENT

between

**The Canadian Union of Public Employees
Local 4600 (Unit 1)**

CUPE·SCFP / *Canadian Union of Public Employees
Syndicat canadien de la fonction publique*

and

Carleton University



September 1, 2022 to August 31, 2025

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TERRITORIAL ACKNOWLEDGEMENT

The Canadian Union of Public Employees Local 4600-Unit 1 and Carleton University acknowledge and respect the Algonquin Anishinaabe, traditional custodians of the land on which the Carleton University campus is situated and where this collective agreement was bargained.

DEFINITIONS

1. **Academic Session** designates the period between September and April inclusive.
2. **Academic Year** designates the period between September and August inclusive.
3. **Bargaining Unit** means the unit defined by the certificate issued by the Ontario Labour Relations Board, dated November 9th, 1979, as amended from time to time by the Ontario Labour Relations Board or by agreement of the parties.
4. **Carleton University** is the body corporate defined by the *Carleton College Act* (1952) as amended by the *Carleton University Act* (1957) and the *Carleton University Act* (1968).
5. **Carleton University Act** designates the several acts enumerated in No. 4 above amended from time to time in the future by the Legislature of the Province of Ontario.
6. **Contract Instructor** is an Employee hired to teach a credit course.
7. **Course** refers to any course approved for credit or accreditation by Senate.
8. **Employee** designates a person included in the bargaining unit.
9. **Employee File** designates all files containing evaluative materials concerning Employees.
10. **Employer** designates the Board of Governors of Carleton University, the President and Vice-Chancellor, Vice-Presidents, Deans and other such persons excluded from the bargaining unit as managerial.
11. **Joint Committee for the Administration of the Agreement (JCAA)** is the committee composed of a maximum of four (4) representatives of each party that is established in accordance with Article 7 of this Agreement.
12. **Leave from duties** is where the Employer approves a graduate student to decline an appointment without forfeiting their priority for reappointment as set out in Article 13.01 of this Agreement. The priority shall not be extended for the period of leave from duties unless the Parties explicitly agree to do so in writing.
13. **Month** designates twenty-two (22) working days.
14. **Parties** are the Parties to this Collective Agreement, namely Carleton University and the Canadian Union of Public Employees and its Local 4600, Unit 1.

15. **Research Assistant** is an Employee hired to assist a faculty member in research.
16. **Service Assistant** is an Employee of an academic department (including a school or institute) employed in a capacity related to the academic program of the department, and who requires knowledge of the relevant discipline to perform the duties of the position, and/or an employee hired to work in a Resource Centre or Reading Room.
17. **Student Consultant** is a student Employee of Information Technology Services (ITS) hired to provide general assistance to student users of computer systems supported by ITS and who works in the student consulting sites. Terms and conditions of employment for Student Consultants are governed by Appendix A of this Collective Agreement.
18. **Summer Session** designates the period from May to August inclusive.
19. **Supervisor** is the person to whom the Employee is immediately responsible. The Supervisor is responsible for assigning duties to the Employee and fulfilling their obligations identified in this Collective Agreement.
20. **Teaching Assistant** is an Employee hired to assist a faculty member or contract instructor in the presentation of a credit course.
21. **Term** shall mean the periods of September to December, January to April, and May to August.
22. **The Union** designates the Canadian Union of Public Employees and its Local 4600, Unit 1.
23. **Working Day** includes each of the five (5) week days, Monday through Friday, with the exception of those days that are holidays as provided in Article 19 of this Agreement. This definition is provided only for the purpose of computing time periods and nothing herein shall be construed to restrict the exclusive right of the Employer to assign duties or otherwise direct the workforce in accordance with Article 2 of this Agreement.

ARTICLE 1 – PURPOSE

- 1.01** The general purpose of this Agreement is to establish an orderly collective bargaining relationship between the Employer and the Employees represented by the Union, to ensure the peaceful settlement of disputes and to set forth agreements covering rates of pay and other working conditions.

ARTICLE 2 – MANAGEMENT RIGHTS

- 2.01** The management of the University and the direction of the working force are vested exclusively in the Employer except as limited by the terms of this Agreement.

ARTICLE 3 – RECOGNITION

- 3.01** Pursuant to the certificate issued by the Ontario Labour Relations Board dated the 9th of November 1979, the Employer recognizes the Union as the exclusive bargaining agent of all Employees of Carleton University in Ottawa, employed as teaching assistants, demonstrators, part-time, markers, research assistants or associates, service assistants, and student consultants appointed by the Department of Computing and Communications Services, who "are graduate students enrolled in the Faculty of Graduate and Postdoctoral Affairs or undergraduate students at Carleton University excluding employees covered by collective agreements with United Steel Workers of America, Local 5927; Canadian Union of Public Employees, Local 910; Canadian Union of Public Employees, Local 3778; Canadian Union of Public Employees, Local 2424; Canadian Union of Public Employees, Local 4600 Unit 2 and the Carleton University Academic Staff Association.

- 3.02** Effective September 1, 1997, students employed as Contract Instructors shall be included in the bargaining unit for CUPE 4600 Unit 2 and shall be subject to the terms and conditions of its Collective Agreement with the Employer.

Effective 01 September 2013, where a graduate student pursuant to Article 13.01(b) (iii) is employed as a Contract Instructor and does not receive a subsequent appointment as a Contract Instructor, the Employee shall not be deemed to have held a regular position for the purposes of Article 13 of this Agreement.

- 3.03** Employees of Carleton University who work fifteen (15) hours or fewer in an academic term are excluded from the bargaining unit.

3.04 No Employees shall be required or permitted to make a written or oral agreement with the Employer which conflicts with the terms of this Collective Agreement.

- 3.05** (a) Research assistants who are paid out of operating funds, are included in the bargaining unit.
- (b) Research assistants who are paid out of outside grant monies, such as NSERC, SSHRC, or other private or government sponsored research grants are not included in the bargaining unit.
- (c) Research assistants paid partly out of funds described in subsection (a) above and partly out of funds described in subsection (b) above are included in the bargaining unit only in respect to such work as is performed in whole or in part for remuneration out of funds described in subsection (a) above.
- (d) A research assistant who is paid out of outside grant monies and who had been offered a teaching assistantship will be permitted to resign the research assistantship at the end of any term, in order to take up a teaching assistantship. A person who exercises this option will be included in the bargaining unit at the commencement of the teaching assistantship, and shall have priority for reappointment in accordance with Article 13.4 for the periods set out therein, including the period of the research assistantship.

ARTICLE 4 – ANTI-DISCRIMINATION AND ANTI-HARASSMENT

4.01 The Employer and the Union agree that there shall be no discrimination, harassment, violence, interference, restriction, or coercion exercised or practiced with respect to any member of the bargaining unit in any matter concerning working conditions, or the application of the provisions of this Agreement by reason of any of the prohibited grounds listed in the Ontario *Human Rights Code* (age, race, creed, colour, ethnic origin, record of offences, citizenship, ancestry, disability, sex, marital status, sexual orientation, gender identity, gender expression, family status, place of origin), religious or political affiliations or beliefs, or by reason of their membership or non-membership in the Union, or by the Employee's exercise of any right or provision under this Agreement.

The University has a duty to provide a reasonable accommodation up to the point of undue hardship. Accommodations shall be made pursuant to the Ontario *Human Rights Code*.

4.02 The Employer and the Union recognize the rights of Employees to work in an environment free from harassment and violence, and acknowledge their responsibilities to take all reasonable and appropriate actions to foster such an environment.

The parties formally recognize their obligations under the *Ontario Occupational Health and Safety Act* and the *Ministry of Training, Colleges and Universities Act*, in particular with relation to the prevention of sexual violence or sexual harassment in the workplace.

- (a) Harassment means engaging in a course of vexatious comment or conduct that is known or ought reasonably to be known to be unwelcome. Harassment can be psychological, verbal, or physical or it can be a combination of these. It is any behaviour, whether deliberate or negligent, that denies individuals their dignity and respect, is offensive, embarrassing, or humiliating to the individual, and adversely affects the working environment. Harassment is behaviour that typically persists over time, but serious one-time incidents may be considered harassment.

Harassment includes but is not limited to: harassment based on gender, race, ethnicity, religion, age, disability, sexual orientation, as well as, sexual harassment and personal/workplace harassment whether or not it is based on the prohibited grounds outlined in the *Human Rights Code*.

Harassment may also take the form of excluding an Employee from rights or privileges related to their employment and to which they are otherwise entitled.

- (b) Workplace sexual harassment is defined as:
- (i) engaging in a course of vexatious comment or conduct against a worker in a workplace because of their sex, sexual orientation, gender identity, or gender expression, where the course of comment or conduct is known or ought reasonably to be known to be unwelcome; or
 - (ii) making a sexual solicitation or advance where the person making the solicitation or advance is in a position to confer, grant or deny a benefit or advancement to the worker and the person knows or ought reasonably to know that the solicitation or advance is unwelcome;

- c) Sexual violence means any sexual act or act targeting a person's sexuality, gender identity, or gender expression, whether the act is physical or psychological in nature, that is committed, threatened, or attempted against a person without the person's consent, and includes sexual assault, sexual harassment, stalking, indecent exposure, voyeurism, and sexual exploitation.

4.03 Harassment does not include:

- (a) Interpersonal conflict or disagreement;
- (b) The proper exercise of management's rights;
- (c) Performance management;
- (d) Operational directives;
- (e) Job assignments; or
- (f) Inadvertent management errors.

4.04 The Employer and the Union recognize the right of individuals to determine their own gender identity, including the right to have stated pronouns respected and used in the workplace. Employees shall not face discrimination or harassment for determining or expressing their gender identity or pronouns.

4.05 Complaint Process

- (a) The Employer and the Union agree that all Employees of the University are responsible for adhering to the University's policies on human rights, as well as those on the prevention of workplace violence, workplace harassment, sexual violence, and sexual harassment.
- (b) Any complaint of harassment may also be grieved using the procedures set out in Article 10 of the Collective Agreement. Grievances will be held in abeyance until such time as the process initiated by the Employee in the appropriate policy has been completed.
- (c) Where the respondent to the complaint is the person who would normally deal with any of the steps of the grievance, the respondent will be recused from the grievance process, and the grievance shall automatically be sent forward to the next step.

(d) A copy of the following documents shall be retained on the University website:

- Carleton University's Human Rights Policies and Procedures (including such Discrimination and Harassment Policies as the Sexual Harassment Prevention Policy at Part IV s.4);
- Carleton's Workplace Harassment Prevention Policy;
- Carleton's Workplace Harassment Prevention Program;
- Carleton's Workplace Violence Prevention Policy;
- Carleton's Workplace Violence Prevention Program;
- Carleton's Environmental Health and Safety Policy;
- Carleton's Sexual Violence Policy.

The Employer will ensure that the above documents are electronically provided to the Union.

(e) All matters arising from this Article will be administered in accordance with the University's Access to Information and Privacy Policy, as amended from time to time, the *Freedom of Information and Protection of Privacy Act* (FIPPA) and the University's Sexual Violence Policy (where appropriate), as amended from time to time.

4.06 The Parties agree that some circumstances involving allegations of discrimination or harassment warrant separation of the complainant and respondent. The complainant may request such a separation in accordance with the appropriate policy listed in Article 4.05 (d).

4.07 (a) On receipt of a complaint of sexual and/or gender harassment, the Employer will advise any involved Employee of their right to Union representation at any stage of the complaint. The Employer will follow University Policies and Procedures to address the complaint.

(b) If an Employee, supported by the Union, chooses not to pursue a complaint through Carleton University's Sexual Violence Policy and instead seeks an informal resolution with the respondent, the Employer will, where possible, facilitate this process in cooperation with the Union.

4.08 Employees are entitled to Domestic or Sexual Violence Leave in accordance with the *Employment Standards Act*, 2000, S.O. 2000, c.41 as amended from time to time. Such leaves will be granted without regard to the statutory thirteen (13) week service requirement.

4.09 The Employer will provide awareness training on domestic and sexual violence and its impacts on the workplace to all Employees.

4.10 Whistleblower Protection

There shall be no retaliation or threat of retaliation against an Employee who, in good faith and on the basis of a reasonable belief, reports wrongdoing by any member of the campus community or who gives information or evidence in relation to the reported wrongdoing. The filing of frivolous, vexatious, and/or malicious complaints shall constitute grounds for discipline.

ARTICLE 5 – UNION SECURITY

5.01 All Employees who were members of the Union on the date this Agreement was ratified and all Employees who subsequently become members shall remain as members in good standing.

5.02 The Employer shall deduct from the pay (if any) of each member of the bargaining unit and remit monthly (no later than the 15th day of the following month) to the Union, dues as the Union shall from time to time determine, the structure of which shall not require deductions that are incompatible with the University payroll system, together with a statement listing the names, addresses, and departments where practicable of Employees from whom such deductions have been made and the amount deducted from each Employee.

5.03 The Union agrees to indemnify and save the Employer harmless from any claims which may be made against it by an Employee as a result of the deduction or non-deduction of Union dues, except for any claim or liability arising out of an error committed by the Employer.

5.04 Information to the Union

(a) The Employer shall provide the Union with the electronic transfer, by September 20th each year, for current Fall appointments, January 20th each year, for current Winter appointments and by May 30th each year, for current Summer Appointments, and with intermittent updates, as practicable, of data from contracts of bargaining unit members, containing the following information, when available, for each contract as contained in the payroll file:

- (i) name,
 - (ii) Banner ID,
 - (iii) full address,
 - (iv) telephone number,
 - (v) email address,
 - (vi) faculty,
 - (vii) home department,
 - (viii) category of appointment,
 - (ix) number of hours in contract,
 - (x) gender,
 - (xi) pronouns,
 - (xii) term of priority of Employee as of date of appointment,
 - (xiii) program,
 - (xiv) date assignment accepted,
 - (xv) residency, and
 - (xvi) type of position (RA, TA, Service Assistant).
- (b) Articles which require the regular transfer of information are: Article 13.05, Article 14.03 (b), and 14.03 (d).
 - (c) Effective three (3) months after the ratification of this Collective Agreement, the Employer shall provide the Union with a report via electronic transfer by January 15, May 15, and September 15 with data from the previous term that includes a list of Employees that worked in excess of their assigned contract hours per Article 14.03 (a).
 - (d) Effective May 1, 2023, the Employer shall provide the Union with a list of Employees whose TA assignment was cancelled or those who were granted a leave from duties (per Article 22.11) by January 15, May 15, and September 15 for the previous term.

- (e) Effective October 15, 2023, the Employer shall provide the Union with an Assignment of Duties (AOD) Report in a spreadsheet format (e.g., csv, xlsx) via electronic transfer by January 15, May 15, and September 15 for the previous term.
- 5.05** The Employer agrees to indicate on each Employee's Income Tax (T4) slip the amount of deductions levied for the Union during the previous calendar year.
- 5.06** When a written formal offer of employment is made, the Employer will advise each prospective Employee that this Agreement exists, that it can be found on both the Employer and the Union websites, and will provide a brief description of the Union. It is understood that the Union shall supply the Employer with such description. Upon hiring, the Employer will provide Employees with a link to a document entitled "Highlights of the Collective Agreement", the content of which must be agreed to by the Joint Committee for the Administration of the Agreement. The Employer shall make available a digital copy of the Collective Agreement in Microsoft Word or equivalent word processing software that has customizable font size and is compatible with screen-readers. Employees shall be provided a hard copy of the Collective Agreement upon request.
- 5.07** The Union shall have the right at any time to have the assistance of authorized representatives of the Canadian Union of Public Employees. Representatives shall have reasonable access to University premises to consult with the Union.

ARTICLE 6 – STRIKES, LOCKOUTS, AND PICKET LINES

- 6.01** There shall be no strikes or lockouts during the life of this Agreement.
- 6.02** Employees covered by this Agreement shall not be disciplined for refusal to cross legally established picket lines for the purpose of performing work normally performed by members of the Union setting up the picket line.
- 6.03** An Employee who is unable to report for work because of a reasonable apprehension of personal injury resulting from picket line activity, shall suffer no loss in pay, provided that the Employee contacts the Security Office and follows reasonable instructions received from that office.
- 6.04** The Employer shall not require CUPE 4600 members to perform the duties of other University Employees during any legal strike by, or lockout of, those Employees.

ARTICLE 7 – JOINT COMMITTEE FOR THE ADMINISTRATION OF THE AGREEMENT

- 7.01** A Joint Committee for the Administration of the Agreement (JCAA) composed of a maximum of four (4) representatives of each Party shall be established within fourteen (14) days of the signing of this Agreement.
- 7.02** It shall be the purpose of the JCAA to provide a forum for discussion of matters pertinent to the operation of the Collective Agreement or other matters of interest to both Parties, and to perform such other functions as may be assigned to it by this Agreement or mutually agreed to by the Parties to this agreement.

The Parties agree that:

- (a) The Union will be meaningfully consulted at JCAA prior to the implementation of any changes contemplated by Carleton University that would affect the working conditions or job duties of Employees, except where precluded by emergencies.
 - (b) Issues brought to the Employer by the Union shall be meaningfully discussed at JCAA.
- 7.03** The JCAA shall be scheduled annually to meet once a month. Meetings may be rescheduled or cancelled by mutual consent in writing on five (5) days written notice. Special meetings may be called by either Party on five (5) days written notice, or by mutual consent. A quorum shall consist of two (2) representatives of each Party. The Parties agree to meet at least six (6) times per year, and there shall be no more than a ten (10) week period between meetings.
- 7.04** Minutes of meetings shall be taken, and the responsibility for doing so shall alternate between the Union and Employer. Minutes of all JCAA meetings will be shared with all Employer and Union delegates no later than 20 working days following the date of the meeting. Such minutes shall not constitute Memoranda of Agreement unless signed by those persons authorized by each Party.
- 7.05** Recommendations of the JCAA shall be reported to the Provost.
- 7.06** The Parties agree that the Employee Assistance Fund, or any agreed replacement for that fund, shall be a standing item on the agenda for each meeting of the JCAA.

ARTICLE 8 – UNIVERSITY FACILITIES

- 8.01** The Employer agrees to provide one bulletin board and space on departmental boards to be used for the posting of official union notices. The Employer agrees

to link to both the CUPE 4600 Unit 1 Collective Agreement and the CUPE 4600 website on the human resources webpage.

- 8.02** Upon request from the Union, the Employer will provide a furnished and serviced office space to CUPE 4600 at the standard rate and in accordance with relevant space guidelines and policies as established from time to time. CUPE 4600 office space will be provided with telephone lines and high-speed internet connections, and will reimburse the University for all associated costs.
- 8.03** Subject to availability, the Employer will allow the Union to make use of the following facilities at the ancillary fee which currently exists or which may be established from time to time:
- (i) reproduction facilities
 - (ii) internal postal service
 - (iii) audio-visual equipment
 - (iv) computing facilities
- 8.04** The Employer shall permit the Union to book University rooms for Union meetings, subject to the prevailing internal regulations.

ARTICLE 9 – CORRESPONDENCE

- 9.01** (a) All regular correspondence between the Parties arising out of or incidental to this Collective Agreement, except where otherwise expressly provided, shall pass between the Local President of the Union (or designate), and the officer designated by the Employer. Such correspondence may be either delivered directly, be forwarded through the University's internal mail, or be sent via email.
- (b) Where receipted delivery is specified, the receipted delivery facilities of the internal mail service may be used, unless the intended recipient is known to be off campus in which case receipted mail shall be used.

ARTICLE 10 – GRIEVANCE PROCEDURE

- 10.01** A grievance shall be defined as any dispute arising out of working conditions or the interpretation, application, administration, or alleged violation of the Collective Agreement.
- 10.02** (a) The Employer acknowledges the right of a representative of the Union to be present at any stage of the grievance procedure.

- (b) No Employee may present any grievance without the approval of the Union. If an Employee presents a grievance to the Employer without the Union's approval, the Employer shall refer the Employee to the Union.

10.03 Complaint Stage

Where an alleged breach of the Collective Agreement arises, the Employee should raise the matter directly with the Supervisor and attempt to resolve the matter informally. A grievance will not be considered at Step One or higher unless it includes evidence of an attempt to resolve the matter at the Complaint Stage.

10.04 Step One

If the matter is not resolved at the Complaint Stage, the Union may, on behalf of the Employee, present a grievance to the Chair/Director of the Unit (or their equivalent), copied to the Director, Labour Relations (Academic), within twenty (20) working days from the date the matter was raised with the Supervisor. The grievance shall be answered within ten (10) working days of its receipt. If a response is not received within ten (10) working days, the Union may escalate the grievance to Step Two.

10.05 Step Two

If the matter is not resolved at Step One, the Union may present a grievance at Step Two to the Dean (or their designate), copied to the Director, Labour Relations (Academic) no later than ten (10) working days after receipt of the University's Step One response.

The University shall convene a meeting within twenty (20) working days of receipt of the Step Two grievance. The Parties shall make a good faith effort to resolve the grievance. The Step Two grievance shall be answered no later than ten (10) working days following the meeting.

If the grievance is not resolved at Step Two, the grievance may be referred to arbitration in accordance with Article 11.

An Employee may request that a grievance be presented directly at Step Two, with the inclusion of evidence of an attempt to resolve the matter at the Complaint Stage.

10.06 Group Grievances

A grievance involving more than one Employee may be presented as a group grievance by the Union directly at Step One or Step Two within twenty (20) working days of the date when the circumstances giving rise to the grievance could reasonably have become known by the Employees.

10.07 Union Grievances

A grievance arising directly between the Union and the Employer, that constitutes neither an individual nor a group grievance, may be presented as a Union grievance directly at Step Two within twenty (20) working days of the date when the circumstances giving rise to the grievance could reasonably have become known by the Union.

10.08 Time Limits

- (a) The time limits stipulated in this procedure may be extended only by written mutual agreement of both Parties.

Where a member pursues a remedy under a University policy concerning sexual harassment and/or sexual violence, the time limits in this Article shall be held in abeyance.

Any member who has experienced workplace sexual harassment and/or sexual violence shall have one year to initiate a grievance from the date of the incident or in cases of a continuing matter, the last date on which the conduct occurred.

A grievance may be initiated at any time during the Policy process (save and except where the Employee has agreed to a mediated binding settlement). If the Employee is dissatisfied with the outcome of the Policy process, the Union may, on behalf of the Employee, initiate a grievance directly at Step Two within twenty (20) working days from the date the Employee is advised of the conclusion/results of the Policy process.

10.09 Abandonment

- (a) The Union may, by written notice to the Director, Labour Relations (Academic), abandon a grievance at any time during the grievance process.
- (b) Where the Union fails to present a grievance to the next higher step within the prescribed time limits they shall be deemed to have abandoned the grievance.

10.10 Grievance Mediation

The Parties agree that it is their intent to resolve grievances without recourse to arbitration wherever possible. Therefore, notwithstanding Step Two above, the Parties may, on mutual agreement, engage the services of a mediator in an effort to resolve the grievance and may extend the time limits for referring the

grievance to arbitration. The Parties will share equally the fees and expenses, if any, of the mediator.

10.11 Union Representation

The Employer acknowledges the rights and duties of Union Stewards and representatives to assist Employees in presenting their grievances in accordance with the procedures in this Article. To this effect, the Employer will recognize fifty (50) Stewards, including four (4) Chief Stewards, all of whom shall be Employees of the Employer.

Upon request from the Employer, the Union shall notify the Employer in writing of the name of each Steward, including the Chief Stewards, and the Employer shall be required to recognize the status of a Steward upon receipt of such notice.

A Steward shall obtain the permission of their Supervisor before leaving their work to investigate complaints or grievances of an urgent nature, or to meet with the Employer to deal with these matters. Such permission shall not be unreasonably withheld.

- 10.12** Grievances alleging that Employees are being required to work hours in excess of those laid out in their Assignment of Duties, in contravention of Article 14.03 (a) of this Agreement, may be presented directly at Step Two, provided that the procedures of Article 14.03 have been exhausted.

ARTICLE 11 – ARBITRATION

- 11.01** Either of the Parties may, within ten (10) working days after the grievance procedure under Article 10 has been exhausted, notify the other Party in writing of its desire to submit the grievance to arbitration. In the event the Parties are unable to agree on the appointment of an arbitrator within forty-five (45) calendar days following the referral of a grievance to arbitration, either Party may request that the Ministry of Labour appoint an arbitrator in accordance with the provisions of Section 49(4) of the *Ontario Labour Relations Act*.

The arbitrator shall hear and determine the grievance, and shall issue a decision that is final and binding upon the Parties and upon any Employee affected by it.

- 11.02** The arbitrator shall have no authority to add to, subtract from, modify, change, alter, or ignore in any way the provisions of this Agreement or any expressly written amendment or supplement thereto, or to extend its duration, or to make an award that has such effect unless the Parties have expressly agreed, in writing, to give it specific authority to do so.
- 11.03** In the event the arbitrator deals with a matter relating to discharge, suspension or disciplinary action, then the arbitrator has the authority to reinstate an Employee

with or without compensation for wages and any other benefits lost, or to make any other award they may deem just and reasonable which would be consistent with the terms of the Agreement.

11.04 Each Party shall bear the expenses of its representatives, participants, and witnesses, and for the preparation and presentation of its own case. The fees and expenses of the arbitrator, the hearing room, and any other expenses incidental to the arbitration hearing shall be borne equally by the Parties. The Parties agree to use University facilities whenever possible.

11.05 Time limits set out in this article may be extended by agreement, in writing, between the Parties.

ARTICLE 12 – DISCIPLINE, SUSPENSION & DISCHARGE

12.01 The Employer shall not discipline, suspend or discharge an Employee without just cause. In any grievance over disciplinary action, the burden of proof of just cause lies with the Employer.

12.02 An Employee has the right to be accompanied by a Union Representative at any disciplinary meeting. The Employer will inform the employee of this right prior to any disciplinary meeting. If an Employee chooses not to exercise this right, the Employee's decision shall be communicated to the Union by the Employer. An Indigenous Employee may elect to have an Indigenous Elder or Knowledge Keeper present at the meeting as a silent support person. The Employee shall be responsible for making all arrangements for such support.

12.03 The Dean shall be responsible for administering discipline in accordance with the provisions of this Article.

12.04 Any discipline against a union member must be made to the Employee in person, where practicable.

12.05 At least two (2) working days prior to any meeting concerning discipline, the Employer will inform the Employee and the Union, in writing, about the general purpose of the meeting, the allegations to be discussed, the time and location of the meeting, and that a representative of the Union, if readily available, may attend the meeting, should the Employee so choose.

12.06 When an Employee is suspended or discharged, such suspension or discharge shall be confirmed in writing to the Employee, with a copy to the Union, as soon as possible, but no later than three (3) working days, following the date of the decision.

12.07 A copy of any written reprimand will also be provided to the Employee and Union as soon as possible, but no later than three (3) working days, following the date of the decision.

12.08 Any informal discussion (such as coaching, advice, or recommendations for performance improvement) shall not be considered discipline.

12.09 Progressive Discipline

Disciplinary action shall normally be taken in accordance with the principles of progressive discipline, and be reasonable and commensurate with the seriousness of the violation.

A written disciplinary warning shall normally precede more serious disciplinary action (such as a suspension or discharge), except in the case of serious misconduct as determined by the Employer. If applicable, the written discipline shall include a description of the improvement required and identify a reasonable time in which the Employee must demonstrate the required sustained improvement in the area of concern.

Prior to the imposition of any discipline, the Employer has the right to impose a non-disciplinary suspension with pay during the period of an investigation of a matter or until the end of the current appointment, whichever comes first.

All matters arising from this Article will be administered in accordance with the University's Access to Information and Privacy Policy, as amended from time to time, and the *Freedom of Information and Protection of Privacy Act* (FIPPA).

12.10 An Employee who has been suspended or discharged may, with the support of the Union, present a grievance directly to Step Two of the grievance procedure within ten (10) working days of receipt of the written notice.

12.11 An Employee shall not be disciplined or given a negative performance evaluation for introducing supplementary materials, theoretical concepts or methods of presentation, the use of which is not inconsistent with any reasonable instructions of the Supervisor.

12.12 If any disciplinary action is rescinded, any documents related to the discipline will be removed from the Employee's employment file.

12.13 Where the Employer adopts or implements any discipline policy with respect to the members of the Bargaining Unit, copies of this policy shall be available in the departmental offices and be provided to the Union.

12.14 Nothing in this Article shall be construed in such a manner as to prevent the normal discussion between supervisors and Employees concerning standards, expectations, or performance of work.

ARTICLE 13 – APPOINTMENTS

13.01 Priority

- (a) An Employee who is registered as a full-time student in a graduate degree program and who has held a regular position in the bargaining unit for at least one term shall have, subject to satisfactory performance of the duties of the position(s) they have held, priority for appointment to available regular positions for which the Employee is qualified.

If employment specified under Article 3 is not available to an Employee for the term in which they apply, the Employee shall be fully compensated for the term and shall suffer no loss to future priority appointments.

Priority shall apply for the following periods, or until completion of the program of study, whichever occurs first, including the period of first appointment:

- Ph.D. Student, ten (10) terms normally over five (5) consecutive calendar years;
- Ph.D. Student, entering that program directly from a Bachelor's degree program ten (10) terms normally over five (5) consecutive calendar years;
- Master's Student, eight (8) and above credit programs six (6) terms normally over three (3) consecutive calendar years;
- Master's Student, in two-year programs, four (4) terms normally over five (5) consecutive terms;
- Master's Students, in one-year programs, two (2) terms of TA normally over three (3) consecutive terms.

When an Employee exhausts their priority terms, they may apply once to have their priority extended for one (1) term for Master's Students and up to two (2) terms for Ph.D. Students.

An Employee may choose to defer priority terms within the time limits for program completion as published in the Graduate Calendar, as amended.

If an Employee defers a priority term as defined in 13.01 (b), employment as per Article 3 will be made available in a Summer term.

A Ph.D. student shall neither be credited nor charged with any period of service accumulated while registered in a Master's degree program.

A Master's or Ph.D. student shall neither be credited nor charged with, nor limited by any period of service accumulated while registered in an Undergraduate program at Carleton University.

- (b) Where a member of this bargaining unit, with a priority appointment, cannot accept an assistantship pursuant to Article 13.01 (a) because:
 - (i) the student is off campus performing academic research or on an academic exchange; or
 - (ii) the student is on an approved leave from duties without pay in accordance with Article 22; or
 - (iii) the student has received employment or a position as a Contract Instructor at Carleton pursuant to Article 3.02;

then, with the approval of the Employer, the number of consecutive terms or calendar years as set out in Article 13.01 (a) shall be extended by the number of terms the student is off campus, up to a maximum of three (3) consecutive terms.

- (c) Where an Employee with a priority appointment declines an appointment, or fails to respond to an assignment as outlined in Article 13.04 (f) during Fall, Winter, or Summer terms pursuant to Article 13.01 (a), and has not been granted a leave from duties without pay in accordance with Article 22 by the Employer, nor is exercising their rights under Article 13.04 (h) (ii), the priority for reappointment as set out in Article 13.01 (a) shall be forfeit and the Employee shall be deemed to have resigned their employment for that term.
- (d) For the Fall, Winter, or Summer terms, where there are insufficient regular positions available for all eligible Employees with priority as per Article 13.01 (a), and where two (2) or more competing applicants with priority have relatively equal qualifications to perform the duties of the available regular position(s), seniority shall be the determining factor.
- (e) For the purposes of paragraph (d) above, seniority for all eligible Employees with priority as defined per Article 13.01 (a) shall mean the number of terms in which the Employee held a regular position with the Employer.

- (f) Where a qualified international student with priority for reappointment applies for a summer position, they shall be appointed if their qualifications are equal to the best available other candidate with priority for reappointment.
- (g) Where an international student is appointed pursuant to (f) above, the appointment(s) shall not count towards the total number of positions for which the Employee has priority for reappointment, and the Employee shall have priority, subject to the total limits in Article 13.01 (a), for available positions in the subsequent Fall and Winter terms.
- (h) An applicant for an initial appointment who is in the second or subsequent year of their degree program shall waive priority for reappointment under Article 13.01 (a) for a period of up to and including the period they were registered in the degree program prior to the commencement of the appointment.
- (i) An Employee who switches programs shall have their priority determined through the new offer of admission.
- (j) Article 13.01 (a) shall not apply to an Employee hired on a temporary basis to replace an Employee on leave or an Employee who cannot fulfill their duties by providing teaching assistance in accordance with Article 22. Any Employee hired on a temporary basis for replacement purposes shall not have priority for appointment unless they have been requested to work as a temporary replacement for more than 130 hours. Employees hired as temporary replacements for more than 130 hours shall have priority on a prorated basis subject to the overall limits set in Article 13.01 (a). For the purposes of this Article, prorated priority shall mean that such Employees shall not have priority for the period they were registered in the degree program prior to the commencement of the appointment. Hours worked as a temporary replacement Employee shall be included in the calculation of priority eligibility.
- (k) Except in situations outlined in Article 13.01 (f), where an Employee is appointed to a third position as a teaching assistant in one academic year, the third appointment shall count towards the total number of positions for which the Employee has priority for reappointment. The third appointment will be deducted from the total number of positions for which the Employee has priority at the end of their priority period eligibility and the Employee shall have priority, subject to the total limits in Article 13.01 (a) for available positions in the subsequent terms.
- (l) An Employee with priority for reappointment pursuant to Article 13.01 will be deemed to have applied for reappointment to the extent of their priority for the next academic year.

- (m) Priority or out-of-priority assignments shall not be cancelled or deferred as a result of the Employee receiving any internal or external funding unless requested by the Employee or if required by the funding agreement. When TAs are cancelled, the Employee shall be advised that they can contact the Union.

13.02 Outside Priority

- (a) An Outside Priority Teaching Assistant (TA) is defined as a TA who does not hold a Priority contract from the Employer.
- (b) Outside Priority students must apply to be considered for any relevant vacant TA positions that may become available once the Priority allocations per Article 13.01 are concluded for that term.
- (c) Graduate students who are presented with an Offer of Admission from the University that does not include priority appointment to a TA position, shall be notified of their rights to apply under Article 13.03, in their offer and through Employer communications, including the deadline to apply and application process.
- (d) Should incoming graduate students referred to in (c) above become Employees and work one hundred and thirty (130) hours in their first academic year, they will then be deemed to have Priority for the remaining duration of their program time as outlined in Article 13.01 (a)-(c), unless they are hired on a temporary basis as a replacement under Article 13.01 (j).
- (e) TA allocators or their delegate are responsible for determining the qualified applicants from the Outside Priority pool of applicants.
- (f) Where two (2) or more applicants possess relatively equal qualifications for a position, seniority as defined in Article 13.01 (e) shall be the determining factor.
- (g) Where two (2) or more qualified applicants possess relatively equal qualifications for a position, a TA who is returning from a formally granted leave from duties for off-campus research or academic exchange (as per Article 13.01 (b) (i) of this Collective Agreement) will be given preference.
- (h) Where two (2) or more qualified applicants possess relatively equal qualifications and equal seniority, preference will be given to an applicant holding an international work or student visa.

- (i) Students who have concluded their original employment under their Priority allotment per Article 13.01 will not regain Priority status and will be required to apply for Outside Priority positions.

13.03 Application Process for both Priority and Outside Priority TA positions

To initiate the application process, all graduate or undergraduate students with Priority or Outside Priority per Articles 13.01 and 13.02 must indicate their interest in employment no later than August 15 (Fall/Winter term), December 15 (Winter term) and April 15 (Spring/Summer term). Each applicant, regardless of whether they have Priority or are Outside Priority, will be required to fill out the requisite forms. It is understood that only qualified applicants will be considered for department based positions.

The application form shall include the following:

- (a) Selection of the term(s) and department(s) for which the student is applying;
- (b) Selection of application for either a specific course or general employment;
- (c) Current and previous degrees;
- (d) Description of previous TA experience;
- (e) Description of other relevant work experience;
- (f) Selection of skills and areas of interest related to TA work.

13.04 Position Assignment Process

- (a) A Department, Faculty, or Supervisor may establish reasonable prerequisites that an Employee must meet in order to work in a particular course or job and determine whether those prerequisites have been met before the Employee is deemed eligible to work in the course.
- (b) Qualified applicants who are full-time Carleton students will be given preference over applicants who are not full-time students for Teaching Assistant, Research Assistant, and Service Assistant positions. Notwithstanding the above, the Parties acknowledge that there may be occasions where hiring must be completed on an emergency basis, and where there may be insufficient time to search for full-time students.

- (c) It is understood that, for the purposes of this Article, Carleton students include prospective students who have been extended an Offer of Admission to a degree program at Carleton with priority.
- (d) For the purposes of this Article, qualifications shall include competence, experience and ability to perform the duties of the position. Academic standing shall not be used as the sole criterion for selection of candidates for positions.
- (e) Whereas unpredictable fluctuations in enrolment preclude the establishment in advance of the precise number of positions to be filled, successful applicants will be advised in writing of their initial appointments as early as possible. The Employer shall normally advise incoming TAs of their appointment at least five (5) working days prior to the start of the term of work.
- (f) TA assignment offers shall be accepted or declined within six (6) working days of the date of the offer. Failure to accept an assignment offer by the above deadline without reasonable cause will constitute declining the position for that term.
- (g) TAs shall be given their Assignment of Duties (AOD) prior to the start of the duties or by the end of the second week of class, whichever comes first. Where acceptance of a TA assignment occurs more than ten (10) working days after the start of term, the Assignment of Duties will be provided within five (5) working days.
- (h) **Assignment Process: Priority**
 - (i) The Employer shall endeavour to accommodate preferences of applicants with respect to the type of position and, for teaching assistants, the type of courses to be assigned. At least three (3) weeks prior to the start of the Fall, Winter, and Summer Terms, the Employer or their delegate will remind potential Priority and/or Priority Employees that they need to indicate their course preferences, including their top three (3) course assignments.
 - (ii) After the initial assignment has been made, if an Employee is not satisfied with their assignment, they may, within five (5) working days of notification of the assignment, request a meeting with the department head or their delegate to discuss the matter. An Employee may be accompanied by a Union representative, if readily available, at each meeting with the department head or their delegate. This provision is not applicable where Priority Employees fail to indicate their course preferences, including their top three (3) course assignments per 13.04 (h) (i).

- (iii) Subject to operational requirements, the Employer will endeavour to accommodate a request from an Employee not to be assigned to a work Supervisor who is also the student's principal thesis or honours research paper Supervisor. Except in cases of students in academic units that customarily allocate Employees to other units, the Employer is not required to endeavour to provide an appointment in a unit other than the unit to which the Employee is initially assigned.
- (i) **Assignment Process: Outside Priority**
 - (i) Once the Priority assignment process is completed, a roster of vacant teaching assistant positions will be determined by TA allocators or their delegate.
 - (ii) Departments which normally establish positions having an average of five (5) hours or less of work per week shall continue their practice of equitably distributing such positions among available qualified applicants.

13.05 Report on Hiring Process:

A detailed hiring report for each department will be sent electronically to the Union no later than October 15, February 15, June 15, and August 15 for the Fall, Winter, and Summer Terms for all positions awarded beyond the priority pool for appointments.

13.06 Redundancy of TA Positions:

- (a) Where an Employee's position becomes redundant during the course of the Fall, Winter, and Summer Terms, the Employer may assign other duties to the Employee and the Union shall be notified within ten (10) working days. It is understood that such duties may be unrelated to the duties of the redundant position. The Employer shall endeavour to ensure that the duties are consistent with the Employee's previous service with the Employer. The Employee's AOD will be updated accordingly and a meeting between the Supervisor and the TA shall occur.
- (b) If the Employee refuses to accept such an assignment, they shall be deemed to have resigned their position for that academic term but will retain priority for reappointment subject to the overall limits specified in Article 13.01.
- (c) If the Employer is unable to assign other duties, the Employee may be laid off and the Union shall be notified within ten (10) working days. A laid off

Employee may elect to displace an Employee with less seniority, provided that the Employee is qualified to perform the duties of the position. The Employee shall be informed of their right to do so. If a laid off Employee elects to displace another Employee, the Union shall be notified within ten (10) working days.

- (d) Employees laid off in accordance with this Article shall have their priority for reappointment extended by the number of terms for which they are laid off.

13.07 (a) Notwithstanding any other provisions of this Collective Agreement, the Employer may appoint students to student consultant positions pursuant to the Ontario Work Study program, the Student Education/Experience Development program, and any other government sponsored student employment programs that may from time to time be created. The appointment procedures and criteria required by these programs shall be deemed to comply with the provisions of this Collective Agreement.

- (b) Student consultants hired pursuant to paragraph (a) above are subject to all other terms of the Collective Agreement as provided in Appendix A.

13.08 The Employer shall not refuse to reappoint an applicant on the grounds of unsatisfactory performance of the duties of the position the applicant has held, unless the basis for the finding of unsatisfactory performance has been communicated to the Employee in accordance with Article 18.03.

ARTICLE 14 – HOURS OF WORK

14.01 A regular graduate position is one that requires two hundred sixty (260) hours for the full academic year, normally one hundred thirty (130) hours per term. A regular graduate position shall not normally exceed an average of more than ten (10) hours per week.

Workloads equivalent to those of a regular position may be compressed into a shorter time period, or expanded into a longer time period not to exceed twelve (12) months, according to the needs of individual departments, provided that the resulting workload does not average more than ten (10) hours per week over the term of the appointment. Where the workload for the full academic year is compressed into one term, it shall decrement the priority period of the Employee by the equivalent of two (2) terms. The balance of the priority period, if any, available to the Employee shall apply in the next academic year.

Pedagogical Training: All Employees may access an additional five (5) hours of pedagogical training during the academic session.

Payment for pedagogical training shall be conditional upon the Employee completing training provided by the Employer, at no cost to the Employee. This training will be paid at the regular hourly rate, as described in Article 23.01.

Compliance Training: All Employees covered by this Collective Agreement will be required to successfully complete compliance training as a condition of employment as mandated by Ontario legislation. This training shall be completed by October 15th of the Fall term; by February 15th of the Winter term; and by June 1st of the Summer term. Upon completion of this compliance training the Employee will be compensated five (5) hours pay as per Article 23.03.

Such compliance training currently includes but is not limited to:

- Respect and Safety Training
- Accessibility for Ontarians with Disabilities Act (AODA) training
- Worker Health & Safety Awareness Training

The Employer reserves the right to amend from time to time, and in accordance with legislative requirements, the above list of compliance training modules. The Union will receive written notification of such amendments at least six (6) weeks prior to their implementation. The University will provide each Employee with documentation of completion and remuneration of all training.

- 14.02 (a)** Workloads less than those of regular positions may be arranged, in accordance with departmental requirements, and will be paid for on a prorated basis.

The Parties agree that CUPE 4600 shall be represented at the orientation session at the beginning of each September.

The University shall provide the Union with an annual report on June 1 (or next contiguous working day) on the Employees who have accessed pedagogical training and the number of hours accessed.

- (b) A graduate student employed in a position other than a regular position who works sixty-five (65) hours or more in a term shall be deemed to have held a regular position for the purposes of Article 13.04.

- 14.03 (a)** The hours of work and length of appointment for each position shall be specified at the time of appointment. No Supervisor shall request that an Employee work, and no Employee shall work, hours in excess of those specified without the express written authorization of the department head. The Employee shall be paid at the hourly rate stipulated in Article 23.01 for any excess hours worked in accordance with this Article.

- (b) In accordance with Article 14.04, prior to the beginning of the specific assignment, the Supervisor shall discuss the assigned duties with the Employee(s), in as much detail as possible, indicating where possible the allocations of time for the various duties assigned and the number of students assigned to the TA for the assignment. The duties, and their allocated times where possible, an estimated weekly breakdown of the hours, and the number of students assigned to the Employee(s) shall be confirmed in writing to the Employee(s), with a copy to the department head and the Union.

The JCAA shall review the assignment of duties form and its distribution. If the form is modified, the revised form shall be appended to the Collective Agreement.

A signed form produced pursuant to this Article does not constitute an individual contract of employment.

- (c) At or about the mid-point of the term, or period of work, the Employee and Supervisor shall discuss the progress of the course, assess whether the remaining duties can be completed within the hours allocated and provide the Employee the total number of students assigned to them. A record documenting the progress of the course as discussed at the mid-term meeting between the Employee(s) and Supervisor will be kept.
- (d) If, during the discussion described in 14.03 (c) or at any other point during the term of the appointment, the Supervisor changes the duties or the allocation of hours for any duties, such changes shall be discussed with the Employee and confirmed in writing, with a copy to the department head and the Union. The Supervisor shall provide written notice of any changes as far in advance as possible to provide adequate time for preparation. Notice of changes shall normally be provided at least two (2) weeks prior to the effective date of the change. Every effort shall be made to avoid changes to duties within two weeks of the last day of classes.
- (e) Should an Employee have any concerns about the allocations of time for any of the duties assigned, they shall fill out a form requesting a meeting with their Supervisor. The form is attached as Appendix B of the Collective Agreement, and may be copied from the Agreement or printed from the Agreement on the University's website. If the Employee gives written notice to the Supervisor that they have any concerns about the allocations of time for any of the duties assigned, the Employee and Supervisor shall meet within five (5) working days of the notice. If the Employee gives written notice to the department head that they are not satisfied with the result of the meeting, the Employee and the department head or their delegate shall meet within five (5) working days of the notice. The department head or their delegate shall have five (5) working days from

the meeting to resolve the matter. If the Employee gives written notice that they are not satisfied with the result of the meeting, the Employee and the Dean of the appropriate faculty or their delegate shall meet within ten (10) working days of the notice. The Dean shall have five (5) working days to resolve the matter.

- (f) Nothing in this Article shall be construed to restrict the exclusive right of the Employer to assign duties or otherwise direct the workforce in accordance with Article 2 of this Agreement.
- (g) Where an Employee is found to have been assigned duties that, if continued to the end of the term of the appointment, would exceed the hours provided for in the appointment, the Employer shall resolve the problem in consultation with the Employee, by either reducing the remaining assigned duties or with written permission of the department and the Employee, allocate additional hours for which the Employee will be compensated at the hourly wage established in Article 23.01 so as to account for all duties assigned to the Employee. An Employee may be accompanied by a Union representative, if readily available, at any meeting held pursuant to this Article.

14.04 All assigned duties of an Employee shall be included in the calculation of required hours. Such duties may include, but are not limited to: reading lecture materials and assigned readings, teaching, demonstrating, conducting seminars, leading discussions, supervising laboratories, preparing classes, attending lectures, training on new technology; WHMIS training, marking students' work, providing feedback to students, consulting with students, holding of assigned office hours, setting up tests, examinations and lab sets, setting up of experiments, preparing written or audio-visual materials, conducting field trips, proctoring of examinations, compiling and uploading grades, conferring with the Supervisor as required, providing research assistance, providing other academic support assistance, monitoring and animating class discussion on educational technologies, the internet, or on email, and other related duties.

In allocating duties and distributing the workload to TAs who will perform duties related to the instruction of courses, Supervisors will take into account the time required to prepare for in class/laboratory time, office hours, and other reasonable interaction with students. All contract hours must be accounted for in the AOD (e.g., 65, 130).

14.05 Subject to operational requirements, the Employer shall endeavour to minimize excessive fluctuations in the hours of work of a position. Normally an Employee shall not be required to work in excess of fifteen (15) hours in any calendar week, except in the case of a position compressed into a shorter time period in accordance with Article 14.01. Anticipated excessive fluctuations shall be clearly identified at the time of appointment.

- 14.06** Employees shall not be required to grade and correct papers or examinations during a period of five (5) calendar days immediately prior to an examination, thesis defense or honours research paper defense (or equivalent), or during a period of three (3) calendar days immediately prior to any other course requirement in their own degree program.

ARTICLE 15 – WORKING CONDITIONS

- 15.01** Subject to Article 14.3 (e), when a TA raises concerns in writing about their hours or working conditions pertaining to a specific course, the number of students and Teaching Assistants assigned to that course over the previous five (5) terms in which the course was offered will be taken into consideration by the Employer.
- 15.02** When the Employer introduces new rules or regulations concerning Employee conduct on University premises or during working hours, the Employer shall consult with the Union. The Union will be meaningfully consulted normally sixty (60) days prior to the implementation of any changes contemplated by the Employer that would affect the working conditions or job duties of bargaining unit members as laid out in this Article. Such consultation shall occur in accordance with Article 7.03. In an emergency situation, the Union will be notified as soon as possible. The University shall transmit copies of new University Policies electronically to the Union and Employees.
- 15.03** If the Employer establishes a formal Policy or direction to the level of Teaching Assistant support per number of students in any courses, a copy of this Policy or direction will be provided to the Union.
- 15.04** (a) In order to encourage the professional development of Employees and to improve the quality of education for students, the Employer shall sponsor in the beginning of each September, an orientation session at which the Union may be represented, on pedagogical or technical skills relevant to the assigned duties of the Employees. A graduate student appointed for the first time to a regular position of sixty-five (65) hours or more in one term, shall be notified in writing, prior to the commencement of the position, that they are required to attend the orientation in September. An undergraduate student appointed for the first time to any TA position will be invited to attend the TA orientation in September subject to available pedagogical training hours per Article 14.01. Attendance at subsequent September orientations shall be voluntary unless the Employees are required in writing to attend, in which case the time spent at such sessions shall be deemed to be time worked. All Employees will be invited to attend TA orientation after a new Collective Agreement has been ratified subject to available pedagogical training hours per Article 14.01.

- (b) The Parties will periodically review the adequacy of Pedagogical training programs and the TA Orientation via the JCAA.
- (c) The Employer shall provide the Union with a record of attendance of all TAs at the training sessions held in the Fall and Winter terms prior to the conclusion of the Winter term.

15.05 Employee Rights

(a) Academic Freedom

- (i) Employees who are involved in some aspect of teaching may, at any time during the term of their appointment, comment in writing on the content, structure, texts and teaching methods used in the course. Such comments may be presented to the Supervisor, Department Head, or Dean, who shall, upon request of the Employee, meet to discuss the matter within ten (10) working days of receipt of the written comment. If the Employee is not satisfied with the result of these discussions, the matter may be referred to the Parties for discussion at the JCAA.
- (ii) No Employee shall suffer reprisal for exercising the right to comment in accordance with Clause 15.05 (a) (i).
- (iii) Academic freedom includes the freedom to examine and question, teach and learn, research and invigilate as well as disseminate opinion(s) and speculate without deference to prescribed doctrine on questions, ideas, principles, concepts, and issues related to pedagogy and research.
- (iv) The Employer accepts its responsibilities to Employees in upholding their rights to academic freedom in performance of their duties as long as said behaviours have academic substance, are pertinent to each work assignment, and are subject to the reasonable direction of and agreement with the Supervisor. In the exercise of academic freedom, Employees shall discharge their responsibilities in accordance with the lawful expectations of the Employer and, in teaching functions, with the needs of the students.
- (v) The claim of academic freedom shall not excuse Employees from meeting their duties and responsibilities as set out in the Collective Agreement, their individual contracts, and the instructions of their Supervisor.
- (vi) When the objectives, content and method of delivery are prescribed by the Supervisor in the work assignment, the Employee shall fulfill

such assignment responsibly and fully. When work assignments permit Employees to have a wider degree of latitude than is possible in more fully prescribed assignments, the Employee may develop and deliver such assignments provided the content and structure have been approved by the Supervisor.

(b) Marking Deadlines

Employees shall not be required to return marked assignments or otherwise complete marking in less than five (5) working days, from the date they are given marking instructions and access to the material to be marked.

15.06 Employee Responsibilities

All Employees shall have the following responsibilities:

- (a) Employees shall teach conscientiously and competently the material assigned to them, in accordance with course outlines which may be provided by their department.
- (b) Employees shall work in cooperation with other Employees, supervisors and course coordinators.
- (c) Employees shall deal ethically and fairly with students, foster a free exchange of ideas, avoid discrimination, respect the principles of confidentiality in a manner consistent with their instructional role, and provide their students with information regarding their instructional and evaluation methods.
- (d) Employees shall not normally miss, cancel or terminate scheduled instruction except in the case of sudden illness or emergency and even in such cases, shall make every effort to have their supervisor and students notified. In other circumstances, subject to the approval of the supervisor, arrangements for re-scheduling or for a substitute must be made in advance of missing scheduled instruction. Instruction missed shall be rescheduled if possible, with adequate notice to the students.
- (e) Employees shall comply with established procedures and deadlines as may be necessary for the well-ordered operation of the University.
- (f) Employees shall inform the students and department of the times when and/or the methods by which they will normally be available for consultation. The times and/or methods shall be such as are likely to be convenient for the students and adequate for the numbers of students involved.

15.07 All present terms and conditions of employment which are directly related to and derive from the employment relationship shall continue, except as modified by this Agreement.

15.08 Technological Change

- (a) No Employee shall suffer a reduction of their normal earnings as a result of any technological change introduced during any work assignment.
- (b) The Employer shall notify the Union and affected Employees at least sixty (60) days prior to the implementation of significant changes to methods of course delivery arising out of technological change.

15.10 Impact of Technology

The Parties agree that any technological change contemplated by Carleton University which either Party has a reasonable expectation will significantly impact either the working conditions of CUPE 4600 members or the overall teaching environment at Carleton University, will be brought to the JCAA for meaningful consultation with the Union normally sixty (60) days prior to being implemented.

15.11 Intellectual Property

The Parties agree that intellectual property rights of Employees engaged in research that is part of their academic program are not governed by this Collective Agreement. Employees who, in their bargaining unit positions, are engaged in other research that is not part of their academic program and which contributes to publication or the development of commercializable intellectual property shall have their work attributed, and be entitled to property rights proportionate to their contribution.

ARTICLE 16 – RESOURCES FOR EMPLOYEES

16.01 Employees shall be provided in a timely manner the following services, spaces, resources, and items for use during employment:

- (a) Appropriate secured space where “appropriate” depends on or is specific/unique to the Department and course requirements and “secured” means a moderate level of security.
- (b) Access to a telephone and the internet to conduct University business;
- (c) Relevant materials required to perform assigned duties;

- (d) Where an Employee is required to use audio or visual materials as part of their assigned duties, such materials shall be provided at no cost to the Employee;
- (e) Other facilities, services, and equipment as are required for the performance of their duties as Employees.

16.02 No teaching assistant shall be required to pay for the use of photocopying or printing facilities for copying or printing material required by the Supervisor for the Employee's work necessary for the fulfillment of their employment duties, provided that the Employee and the Supervisor comply with the applicable departmental administrative procedures.

16.03 Provisions shall be made for members of the bargaining unit to receive mail in the Office of the Department/School/Faculty in which they are registered and/or employed.

16.04 With reasonable advance notice to the department, Employees requesting a private space for medical, nursing, or pumping needs shall be provided with a reasonable space for such needs.

ARTICLE 17 – HEALTH AND SAFETY

17.01 (a) The Employer shall comply with the Ontario *Occupational Health and Safety Act, R.S.O. 1990, c.O.1* as amended from time to time.

- (b) The Employer and the Union shall cooperate in establishing rules and practices that promote the safety and health of Employees.

Matters of concern related to the health and safety of Employees may be brought to the JCAA or the Joint Health and Safety Committee (JHSC) for discussion. The Parties recognize the Joint Health and Safety Committee (JHSC) is the appropriate forum for workplace health and safety concerns pursuant to the JHSC terms of reference and the *Occupational Health and Safety Act*.

- (c) No Employee shall be required to act, nor shall any Employee act in the course of their employment, in a manner that constitutes a health or safety hazard.
- (d) No Employee shall be disciplined for refusal to perform work where the Employee has acted in compliance with the *Occupational Health and Safety Act*.

- (e) The Employer shall maintain a Joint Occupational Health and Safety Committee in accordance with the Ontario *Occupational Health and Safety Act*. CUPE 4600 (Unit 1) shall appoint one member of the bargaining unit to the Joint Occupational Health and Safety Committee.
- (f) All time spent by an Employee duly authorized by the Joint Health and Safety Committee to carry out duties under the *Occupational Health and Safety Act* shall be paid at the regular hourly graduate student rate of pay provided in Article 23.01. Employees shall be scheduled and shall submit a report of such hours to the Director, Environmental Health and Safety.

17.02 Violence in the Workplace

The Employer recognizes a responsibility to provide an environment intended to protect the health, safety and security of Employees as they carry out their responsibilities.

The Parties formally recognize their obligation under the Ontario *Occupational Health and Safety Act R.S.O. 1990, c.O.1*, as amended from time to time, in particular with relation to the prevention of violence and harassment in the workplace.

The Employer shall establish and maintain reasonable measures and procedures for this purpose which shall include training for Employees as legislatively required.

In return, individual Employees shall assume an appropriate responsibility to respect and assist in the implementation of rules adopted to protect the health, safety, and security of Employees and the Union will encourage its members to do so.

17.03 Mental Health

The Parties mutually acknowledge the importance of mental health for Employees. Carleton University strives to create an environment of tolerance and understanding for all Employees.

Matters of concern related to mental health of Employees shall be discussed at the JCAA. The Joint Health and Safety Committee shall be a resource for these discussions.

17.04 Workplace Safety and Insurance Board (WSIB) Information

The Employer will notify the Union of the names of any members of the bargaining unit who are off work as a result of a work-related injury.

The Employer will provide the Employee with a copy of the Workplace Safety and Insurance Board Form 7 at the same time as it is sent to the WSIB.

ARTICLE 18 – EVALUATION AND EMPLOYEE FILES

- 18.01** (a) The Employer shall give reasonable advance notice to all Employees whose work will be formally evaluated. Such notice shall identify the aspects of the Employee's performance to be evaluated. No formal evaluation shall be placed on an Employee's file unless it conforms to this Article.
- (b) Where the Employer proposes to introduce formal student evaluations of the performance of Teaching Assistants, the Employer shall consult with the Union via the Joint Committee for the Administration of the Agreement prior to implementation.
- (c) An Employee may request of their employment supervisor that the supervisor complete a performance evaluation of that Employee. The supervisor maintains the discretion whether or not to grant the request for an evaluation. However, such a request shall not be unreasonably denied nor shall such a request exceed one (1) per employment assignment per Employee.
- 18.02** Where departmental employment files are maintained, such files shall be separate from an Employee's academic records, shall contain only those documents which are related to employment, and shall be maintained by the department in which the Employee is working.
- 18.03** The Employer agrees to inform the Employee when a document related to the Employee's performance has been placed on the Employee's file.
- 18.04** An Employee shall have the right to examine their employment file during normal business hours.
- 18.05** An Employee shall have the right to have the University prepare, at reasonable intervals, electronic copies of the non-confidential items in their employment file.
- 18.06** An Employee shall have the right to have his or her file supplemented or corrected in the event of error or inadequacy. In the event of alleged distortion, the Employee may provide additional material for inclusion in the file.
- 18.07** Before any information from the Employee's file is given to anyone other than the Employer, the Employee shall, where the Employee is readily available, be informed that such information has been requested, and shall be given a reasonable opportunity to inspect the file.

18.08 All documents relating to a disciplinary action shall be removed from an Employee's file after twelve (12) months, unless the discipline pertains to discrimination, harassment, workplace violence, theft, or fraud in which case it shall be removed from an Employee's file after twenty-four (24) months provided that there has been no subsequent disciplinary action taken against the Employee.

ARTICLE 19 – HOLIDAYS

19.01 No Employee covered by this Agreement shall be required to work on any of the following Holidays:

- New Year's Day
- Family Day
- Good Friday
- Victoria Day
- Canada Day
- Civic Holiday
- Labour Day
- National Day for Truth and Reconciliation*
- Thanksgiving Day
- Christmas Day
- Boxing Day

Neither shall any Employee be required to work on any day on which the University is closed in accordance with the schedule in the academic calendar.

If any other day is proclaimed by the provincial government as a statutory holiday, it shall be added to the holidays stated above.

*Notwithstanding the above, Teaching Assistants who are required, as part of their Assignment of Duties ("AOD") or by instruction from their TA Supervisor, to conduct or attend a scheduled tutorial, laboratory, or lecture shall be entitled to a one-time premium payment of time and a half at the applicable rate of pay per Article 23.01 for each hour they are required to work on the National Day for Truth and Reconciliation (NDTR) subject to the usual and statutory deductions. Teaching Assistants who are not required to work as outlined above on the NDTR shall not be entitled to the premium pay. The Parties acknowledge that the academic calendar remains solely within the discretion of Senate.

ARTICLE 20 – VACATION PAY

20.01 An Employee covered by this Agreement shall be entitled to an amount equal to four percent (4%) of salary as vacation pay, or other amount as provided under

legislation, whichever is greater. This additional amount shall be paid on each payment the Employee receives following commencement of employment.

ARTICLE 21 – SICK LEAVE

- 21.01** An Employee is eligible for sick leave with pay when they are unable to perform their duties because of illness or injury.
- 21.02** Employees whose appointments are one-hundred and thirty (130) hours are entitled to a maximum of fifteen (15) hours of paid sick leave per term after commencement of their appointment. Employees whose appointments are fewer than one-hundred and thirty (130) hours are entitled to paid sick leave on a pro-rated basis. Sick leave will not be accumulated from one term to the next. Unused sick leave has no monetary value and will not be paid out at the end of a term. To qualify for paid sick leave, Employees must notify their Supervisor in writing as soon as possible in advance of the leave commencing.
- 21.03** In cases of severe illness or injury where a TA is fully restricted from performing their TA duties and subject to the Employee providing satisfactory medical documentation to support their request for leave, a TA may be granted up to 10 hours of additional paid sick leave subject to the Employee having a future priority TA appointment.
- 21.04** An Employee is not eligible for sick leave with pay during any period in which they are on leave without pay or under suspension.
- 21.05** Where an Employee is absent because of illness in excess of their sick leave entitlement, leave without pay may be granted until the end of the term, subject to the Employee providing satisfactory medical documentation to support their request for leave. Priority status shall not be forfeited.
- 21.06** The Employer shall reimburse Employees for any costs associated with obtaining medical certificates or documentation up to a maximum of seventy-five dollars (\$75).
- 21.07** It is understood that, on the Employee's return to work, it may not be practicable to return the Employee to the same position; in such cases, alternative duties shall be assigned, and Article 13.06 shall apply, *mutatis mutandis*.

ARTICLE 22 – LEAVE OF ABSENCE

- 22.01** (a) With the approval of the Supervisor(s) concerned, an Employee may arrange to exchange duties with another Employee, or to have another Employee substitute, for periods not to exceed one week at a time. Any

costs incurred by an exchange or substitution shall be covered by the Employee requesting the exchange or substitution.

- (b) Employees requesting religious accommodation, including requests to observe religious holy days consistent with the Employee's religion, must give at least ten (10) working days' notice to their supervisor. In unforeseen circumstances where accommodation of religious observance will be required, every effort will be made to accommodate where less notice is given, but approval of leave in this instance is not assured.
- (c) Approval of leave requests shall be considered with due regard to the continued effective functioning of the academic program and the needs of students. Provided the needs of the students and the continued effective functioning of the academic program can be maintained, leave shall not be unreasonably denied.
- (d) An Employee who has been invited to deliver a paper, present research findings, act as a discussant, or chair a session at an academic conference, may apply for leave to attend the academic conference. It is understood that an Employee may be granted such a leave up to three times per academic year for a period not exceeding five (5) consecutive working days. In seeking approval of the leave from the Employee's direct supervisor in the course in which the Employee is working, the Employee shall develop a plan for how the Employee's duties will be covered during leave. Requests for such leave will be subject to Article 22.01 (c) and not be unreasonably denied.

22.02 Where absence from assigned duties is necessary in order to represent an Employee at a grievance meeting or an arbitration hearing, and where reasonable efforts to arrange an exchange or substitution in accordance with 22.01 have been made, a Steward or other Union Officer shall be granted leave with pay to attend such meeting or hearing.

22.03 Leave of absence with pay shall be granted, in accordance with 22.02, for up to four (4) Employees for the purpose of participating in scheduled negotiations or committee meetings with the Employer. The Employees will request this leave as far in advance as possible, and at least twenty-four (24) hours in advance in any case.

22.04 An Employee may request in writing to the Office of the Deputy Provost leave of absence without pay for personal reasons. Such leave shall not be unreasonably withheld.

22.05 An Employee on an assigned work schedule may be granted, upon application to the Office of the Deputy Provost, leave of absence with pay for up to a maximum of fifteen (15) working hours for compassionate reasons in the event of the

critical illness or death of a spouse, family member, or a person considered by the Employee to be like a family member.

In the case of bereavement outside the Provinces of Ontario and Quebec, the Office of the Deputy Provost may at their discretion extend such leave to a maximum of fourteen (14) calendar days to allow for necessary travel time.

An Employee on an assigned work schedule may be granted, upon application to the Office of the Deputy Provost, leave of absence with pay for one (1) day to attend a funeral (or equivalent end of life ceremony) of their spouse, family member, or a person considered by the Employee to be like a family member within the Employee's same city of residence. If the one-way distance to the funeral (or equivalent end of life ceremony) requires more than two hundred and fifty (250) kilometres of travel, an Employee shall be entitled to a leave of absence with pay for two (2) consecutive days.

22.06 Leave of absence without pay may be granted upon request to the Office of the Deputy Provost, to Employees designated to represent the Union at Union-related conferences, workshops and educational seminars. Such leave shall not exceed in total twenty-four (24) calendar days in any one calendar year for the entire bargaining unit.

22.07 Court Leave

Upon request to the Office of the Deputy Provost, a leave of absence with pay to a maximum of ten (10) hours per week and no more than thirty (30) hours in an academic term, paid at the rate of the Employee's full salary, less what the court pays for the performance of the required duties, shall be granted for an Employee to serve as a juror or appear as a witness in a proceeding in court when subpoenaed. Court leave will be granted when such service conflicts with their assigned job duties. Employees are required to provide proof that they attended the court on the required days for which they were granted court leave.

22.08 Pregnancy and Parental Leave

(a) Pregnancy Leave

A pregnant employee who has completed thirteen (13) weeks of service with the Employer shall, upon written request to the Office of the Deputy Provost, be eligible for pregnancy leave without pay for up to seventeen (17) weeks (or such other period of pregnancy leave as is required to be granted under the *Employment Standards Act, 2000*, as amended). The leave of absence shall be granted and taken in accordance with the pregnancy leave provisions of the *Employment Standards Act, 2000*, as amended.

(b) Parental Leave

An Employee who has completed thirteen (13) weeks of service with the Employer and who is the parent or legal guardian of a child, upon written request to the Office of the Deputy Provost, shall be eligible for parental leave without pay for up to sixty-one (61) weeks if the Employee has taken a pregnancy leave, or for up to sixty-three (63) weeks if the Employee has not taken a pregnancy leave, or such other period of parental leave as is required to be granted under the *Employment Standards Act, 2000*, as amended. The leave of absence shall be granted and taken in accordance with the pregnancy leave provisions of the *Employment Standards Act, 2000*, as amended.

(c) Parental Leave Benefit

(i) Subject to the Employee's indication to return to work following the period of parental leave, Employees who have held a position for at least sixty-five (65) hours for a term and have held such a position for at least thirteen (13) weeks shall be eligible to receive a benefit during parental leave as follows:

1. for the six (6) weeks of parental leave, ninety-five percent (95%) of the average weekly earnings as determined by 22.08 (d) (iii) below; and
2. for the next eleven (11) weeks of parental leave, sixty-five percent (65%) of the average weekly earnings as determined by 22.08 (d) (iii) below.

An Employee who is eligible for this parental leave benefit and who takes a pregnancy leave may elect before the pregnancy leave begins to receive the parental leave benefit during up to the first seventeen (17) weeks of the pregnancy leave instead of during the parental leave.

- (ii) The period of leave during which the benefit is received shall not be charged as a period of service under the provision of Article 13.01. The Employee may apply for a leave from duties as outlined in this Article.
- (iii) Such benefit will be paid providing the Employee is receiving not more than ninety-five percent (95%) of normal weekly earnings from all sources (including E.I. benefits, supplementary University payments, and other employment earnings).

- (iv) The benefit is subject to any other limitations set out by Employment and Social Development Canada or other governing authorities.
- (v) The Employee must return to work following the expiry of the leave, subject to the availability of work and the Employee's eligibility for work under this Collective Agreement. It is understood that, on the Employee's return to work, it may not be practicable to return the Employee to the same position; in such cases, alternative duties shall be assigned, and Article 13.06 shall apply, *mutatis mutandis*.

(d) **Notice**

- (i) In accordance with the *Employment Standards Act*, 2000, as amended, the Employee must give at least two (2) weeks written notice of intention to take pregnancy or parental leave, as applicable, together with a medical certificate estimating date of delivery in the case of pregnancy leave.
- (ii) During the period of notice the Employee and Supervisor will endeavour to ensure that the hours worked up to the commencement of the leave correspond to the hours paid up to that time.
- (iii) For the purpose of Section 22.08, the term of the appointment shall be as stated in the assignment details, and the average weekly earnings shall be based on the number of calendar weeks in the term of appointment so stated.

22.09 Family Caregiver Leave

Employees are entitled to family caregiver leave and other related leaves in accordance with the *Employment Standards Act*, S.O. 2000, c. 41 as amended from time to time.

22.10 Sexual Violence Leave

An Employee who is a complainant under the University's Sexual Violence Policy or who is pursuing informal resolution as referred to in Article 4.07 (b), and who requires time off to deal with an incident of sexual violence, shall suffer no loss of salary for an absence of up to three (3) calendar weeks. There shall be no expectation that the Employee shall make up the hours at a later date.

22.11 Gender Affirmation Leave

An Employee who provides documentation from a medical practitioner confirming that the Employee requires a leave of absence in order to undergo the medical

procedure(s) related to gender affirmation shall be granted a paid leave of absence of up to four (4) weeks. Leave may be extended without pay upon updated documentation from the medical practitioner.

- 22.12** Where an Employee satisfies the Employer that the Employee is unable to continue both studies and employment for reasons related to the birth or adoption of a child, the Employee may exercise a priority for appointment pursuant to Article 13.01 while on approved academic leave of absence provided that the Employee returns to full-time student status after a period not to exceed four academic terms. Application for employment pursuant to this Article must be made in writing to the Office of the Deputy Provost at the same time as the application for academic leave of absence.
- 22.13** The Employer shall re-arrange an Employee's work assignment, or arrange exchanges of duties with other Employees as necessary, to enable a parent or legal guardian to have up to five (5) consecutive working days free of duties on the arrival of their child.

22.14 Leave from Duties

Leave from duties shall be approved by the Office of the Deputy Provost. Leave may be requested for the following reasons:

- (a) Academic research, exchange, and co-op positions.
- (b) Certified medical leave.
- (c) Any other reasons where the Academic Supervisor and/or the Department Head provides reasons in writing as to how the leave would benefit the student academically.
- (d) Pregnancy and/or Parental leave, as per Article 22.08 (a) and (b).
- (e) The employee has received employment as Contract Instructor at Carleton pursuant to Article 3.02.
- (f) Family Caregiver Leave per Article 22.
- (g) Domestic or Sexual Violence Leave per Article 4.08 and Article 22.
- (h) Gender Affirmation Leave per Article 22.

Applications for leave from duties shall not be unreasonably denied. Applications for leave from duties to take employment as a Contract Instructor at Carleton pursuant to Article 3.02 will be automatically granted. Grievances concerning and

denial of such leave may be presented directly as Step 2 of the grievance procedure in Article 10.

ARTICLE 23 – SALARY

23.01

Graduate	September 1, 2022	September 1, 2023	September 1, 2024
Regular Appointment (260 Hours)	\$11,393	\$11,734	\$12,085
Hourly Rate	\$43.82	\$45.13	\$46.48
Percentage Increase	3%	3%	3%

Undergraduate	September 1, 2022	September 1, 2023	September 1, 2024
Hourly Rate	\$25.62	\$26.78	\$28.00
Percentage Increase	3%	3%	3%
Parity Adjustment for 2022 – 2025 Collective Agreement	1.5%	1.5%	1.5%

As of May 1, 2023, the University shall provide to all Employees an additional 3%, calculated over and above the hourly rates set out in Article 23.01 of the Collective Agreement plus vacation pay, for all hours worked, to reflect entitlement for public holidays listed in the *Employment Standards Act*.

23.02 Fractional appointments (i.e., regular appointments for less than ten (10) hours per week) for graduate students will carry pro-rata salaries. (See Article 14.02 (a)).

Upon completion of mandatory compliance training, a new Employee will receive a one-time payment of up to five (5) hours as per Article 14.01. If the University requires Employees to take updated mandatory compliance training, Employees will be paid up to five (5) hours upon completion as per Article 14.01. Undergraduate TAs will receive the same one-time payment as Graduate TAs.

23.03 Regular appointments shall be paid in equal semi-monthly installments over the term of the appointment. Initial payment will be made on the next-to-last banking day prior to the end of the first month of each term. Subsequent payments will be

made on the next-to-last banking day prior to the 15th of the month and the next-to-last banking day prior to the end of the month.

23.04 Tuition Increase Assistance

(a) Eligibility

- (i) Any Employee covered under this Agreement and not excluded under subparagraph (ii) below who works for sixty-five (65) hours or more in any term shall receive a tuition increase assistance for that term in which they are employed as calculated in paragraphs (b), and (c) below.
- (ii) Employees who are not students or are exempt from tuition shall not receive tuition increase assistance under this Article.

(b) Reference Fees

- (i) The reference tuition fee for Employees who commenced employment prior to August 31, 2006 is defined as those program tuition fees established as of May 1, 2001.
- (ii) The reference tuition fee for Employees who commence employment on or after September 1, 2006 and prior to April 30, 2009, shall be defined as those program tuition fees established as of May 1, 2005.
- (iii) Subject to (iv) below, the reference tuition fee for Employees who commence employment on or after May 1, 2009, shall be defined as the tuition fee schedule (which may include program name, level, and year) as of the first day they commence employment.
- (iv) Employees whose status changes from full to part-time or vice-versa, shall simultaneously change Reference Fees according to the fees for their new registration status as of the date of their former Reference Fees.
- (v) Employees who are unable to remain registered in the same program due to changes in program offerings or conditions shall maintain their Reference Fees from their original program (their actual fees when they first entered their program) if they apply to and are accepted in a different program at Carleton University within twelve (12) months.

(c) Limitations

If an Employee both ceases to be employed and ceases to be a Carleton student for an academic year, the first day they commence employment for the purposes of Article 23.05 (b) will be the date they resume employment, unless this break occurred through an approved leave from duties as per Article 13.01 (b).

(d) Assistance Amount

- (i) The amount of the tuition increase assistance shall be the difference between the current tuition paid by the Employee and the Reference Fees, except as specified in subparagraph (ii) below.
- (ii) Employees who have scholarships or bursaries which vary with tuition, but are not covered under sub-paragraph (b) (ii) above, shall receive assistance equal to the difference between the increase in their scholarship or bursary due to the increase in tuition, and the actual dollar amount of that increase, if any.
- (iii) The assistance amount will be provided to eligible Employees no later than November 20 for the Fall term, March 20 for the Winter term and July 20 for the Summer term.
- (iv) Employees will be notified by email when the assistance amount is applied to their student account. The Employer will advise the Employee of the process to receive their credit amount as a refund, if applicable.

ARTICLE 24 – EMPLOYEE ASSISTANCE FUND

24.01 The Employer agrees to pay into an Employee Assistance Fund (EAF), with eligibility guidelines to be determined by the JCAA. Any unused funds will be carried over and added to the next year's fund:

- (i) September 1, 2022: four hundred seventy-five thousand dollars (\$475,000)
- (ii) September 1, 2023: four hundred eighty thousand dollars (\$480,000)
- (iii) September 1, 2024: four hundred eighty-five thousand dollars (\$485,000)

24.02 Per Article 7.06, the Employer and the Union will discuss the financial health of the Employee Assistance Fund (EAF) which shall be a standing agenda item at the JCAA.

A Summary Report on the balance and submitted and paid expenses of the EAF shall be provided to the Union via electronic transfer by February 1, June 1, and October 1 with data from the previous term.

24.03 The Union may enter into discussions with the Employer, via the JCAA, to further contribute funds to the EAF should the number of eligible Employees increase by 10% or more from the previous Academic Year and if the viability of the Fund is at risk.

24.04 The following items (a) through (g) as covered by the Fund will be administered by a mutually agreed third party vendor. The costs of administering the EAF will be borne by the Fund:

- (a) Child-care costs in order to perform their duties; and/or
- (b) Eye-care costs where the Employee does not have access to vision care under an existing health insurance plan, or where the costs exceed the coverage; and/or
- (c) Dental costs where the Employee does not have access to coverage under an existing dental plan, or where the costs exceed the coverage; and/or
- (d) Physiotherapy costs where the Employee does not have access to coverage under an existing health plan, or where the costs exceed the coverage; and/or
- (e) Chiropractic costs where the Employee does not have access to coverage under an existing plan, or where the costs exceed the coverage; and/or
- (f) Registered Massage Therapy costs where the Employee does not have access to coverage under an existing health plan, or where the costs exceed the coverage; and/or
- (g) Mental health costs where the Employee does not have access to coverage under an existing health plan, or where the costs exceed the coverage.

The following items (h) through (j) as covered by the Fund will be administered by the JCAA:

- (h) Assistance with UHIP premium costs; and/or
- (i) A salary advance on TA pay in the amount of seven hundred and fifty dollars (\$750), interest free, in the first month of every term in which they hold a TA position. The salary advance will be recovered by deducting two

hundred fifty dollars (\$250) from the three (3) subsequent pay periods;
and/or

- (j) Emergency loans.

ARTICLE 25 – DURATION AND RENEWAL

25.01 Except as specifically otherwise provided herein, this Agreement shall come into force on the date of ratification, and shall remain in effect until August 31, 2025. It is understood that both Parties shall subject the Agreement to a ratification vote. For the purposes of this Article, the date of ratification shall be defined as the date upon which the Union ratifies the Agreement.

25.02 If either Party desires to bargain with a view to renewal of this Agreement with or without modification, such Party shall give notice of such desire to the other Party at least 60 days and not more than 120 days prior to the expiry of this Agreement.

25.03 The Parties shall meet within 20 days from the giving of the notice or within such further period as the parties agree upon and they shall bargain in good faith and make every reasonable effort to make a Collective Agreement.

ARTICLE 26 – TECHNICAL INFORMATION

26.01 The Employer shall make available to the Union, upon written request and within a time mutually agreed to by the Parties, such information as the Parties may reasonably agree is necessary for the purposes of collective bargaining or the administration of this Collective Agreement, providing such information does not violate a confidence and is not reasonably attainable through the Union's own resources.

ARTICLE 27 – AMALGAMATION AND MERGER

27.01 As a minimum, the Employer shall meet the standards for mergers and the employment standards set out in the Ontario *Labour Relations Act* S.O. 1995, c.1 and the Ontario *Employment Standards Act* S.O. 2000, c.41 in effect at the date of signing of this Agreement.

ARTICLE 28 – ACCOMMODATIONS

28.01 The Parties acknowledge and agree that they each have a legal responsibility to facilitate accommodations for Employees pursuant to the Ontario *Human Rights*

Code, (the “Code”) as amended. The University has an obligation to provide reasonable accommodation for human rights needs up to the point of undue hardship.

28.02 Employees shall inform the University if they require an accommodation.

28.03 Employees have a right to CUPE 4600 representation at meetings regarding requests for accommodation.

28.04 Where appropriate, accommodations will be time limited and kept on an Employee’s confidential file.

28.05 Information relating to requests for accommodation will be kept in accordance with the *Freedom of Information and Protection of Privacy Act*, the Code, and any relevant University policies.

ARTICLE 29 – EMERGENCY FUND

29.01 Effective May 1, 2023, the Employer shall provide the Union a one-time deposit of twenty thousand dollars (\$20,000) to the emergency fund for the purposes of administering an emergency fund for members of CUPE Local 4600 (Unit 1).

Effective September 1, 2023, the Employer shall contribute twenty thousand dollars (\$20,000) per year to the emergency fund.

Criteria for qualifying for this fund are as follows:

1. Unexpected situations that result in a need for emergency assistance (e.g., fire, flood, theft).
2. Domestic violence and/or abuse situations that result in a need for emergency assistance.
3. Unexpected and abrupt homelessness that results in a need for emergency assistance.
4. Situations impacting personal health, psychological, or safety needs requiring emergency assistance.

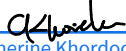
The Union shall be responsible for administering the emergency fund reimbursement. The Union is responsible for ensuring compliance with taxes, CRA regulations, any University policies and applicable laws.


The Union will provide the University with an expense report on the disbursement of the fund no later than January 15, May 15, and September 15 of each year.


The University reserves the right to request further information on the disbursement of the funds including an annual audited financial statement.

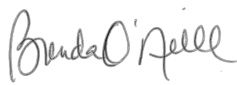
THIS COLLECTIVE AGREEMENT signed at Ottawa Ontario, this 7th day of Feb, 2024.

FOR THE EMPLOYER:



Catherine Khordoc (Feb 5, 2024 13:04 EST)
Catherine Khordoc, Deputy Provost,
Co-Lead Negotiator



Amy Wyse, Director, Labour Relations
(Academic), Co-Lead Negotiator


Dwight Deugo, Associate Vice-
President (Quality Assurance)



Brenda O'Neill, Dean, Faculty of Public
Affairs



Angela Marcotte, Associate Vice-
President (Financial Services)



Josh Hruschka, Senior Labour
Relations Officer (Academic)



Bana Al Tahir, Academic Relations
Coordinator

FOR THE UNION:


Zoë Abernethy, Chief Negotiator


Noreen Cauley-Le Fevre (Feb 5, 2024 09:11 EST)
Noreen Cauley-Le Fevre, President


Codie Fortin Lalonde, Business Agent
and Organizer


Taylor Kociszewski (Feb 5, 2024 13:02 EST)
Taylor Kociszewski, CUPE National
Representative

APPENDIX A

STUDENT CONSULTANTS

The following Articles of the Collective Agreement do not apply to Student Consultants:

13.01, 13.03, 13.04(d)(viii), 13.07(b) and (c), 14.01, 14.02, 14.03(c) and 9(e), 15.03, 15.05, 23.02 and 23.03.

The following Articles of the Collective Agreement are hereby amended as follows, for student consultants only:

10.04 Step One: For Supervisor, Substitute Supervisor, User Services

10.05 Step Two: For their department head, substitute the Associate Director, Services and Facilities.

10.06 Step Three: For the appropriate Dean, substitute the Director, Computing and Communications Services.

13.03 The Department's form shall specify/contain:

- (a) That application does not ensure employment; the dates upon which employment decisions will be made;
- (b) The site in which student consultants may work;
- (c) A description of the duties performed at computing sites;
- (d) Sufficient space for applicants to indicate a preference as to (c);
- (e) Sufficient space for applicants to cite reasons for their preference and factors which may bear on the decision including academic qualifications and relevant work experience.

13.04 (a) The Supervisor, User Services may establish reasonable prerequisites which an Employee must meet in order to work at a particular computing site and determine whether those prerequisites have been met before the Employee becomes eligible to work at any of the computing sites.

13.04 (c) Based on the experience and education of the applicants, the Employer shall endeavour to accommodate preferences of applicants with respect to working in any one of the sites operated by Computing and Communications Services.

- 13.07 Qualified applicants who are full-time Carleton students will be given preference over non-student applicants for student consultant positions, but nothing in this Agreement shall be construed so as to limit the Employer's right to assign work normally performed by student consultants to members of the CUPE 2424 bargaining unit.
- 14.03 (d) If, during the term of appointment, the Supervisor of User Services, CCS, changes the duties or the allocation of hours for any duties or changes the assignment from one computing site to another, such changes shall be discussed with the Employee, and confirmed in writing, with a copy to the Director, Computing & Communications Services and the Union.
- 14.04 All assigned duties of an Employee shall be included in the calculation of required hours. Such duties may include, but are not limited to: keeping consulting hours at one of the computing sites, keeping records and entering data, improve, document, and/or devise tests for a program, checking and reporting terminal and printer malfunctions.
- 14.06 The Department of Computing & Communications Services will endeavour to re-arrange student consultants work schedule in order to prepare for or write examinations or to fulfill any other course requirements in their own degree program.
- 22.05 For the Dean, substitute the Supervisor, User Services.
- 23.03 Salaries for student consultants shall be paid on the basis of actual hours worked as submitted to the Payroll Office on a Payment Authorization form, on a bi-monthly.

APPENDIX B

To be completed by the Employee:

Name:

Department of Work:

To: (Immediate Supervisor):

Based on my job description and my experience to date with the job, I have concerns; about the following aspects of my assignment as outlined below (please be as specific as possible):

I therefore request a meeting with you within five (5) working days.

Yours sincerely,

Signature

Date

Rationale:

The Union proposes a means by which our members may address conditions of overwork through a formal request that outlines the steps to take in the event of concerns about such conditions. This is a more efficient process than the current recourses which are described in Article 14.03 and this will benefit all parties involved, as it reduces the potential for conflict, avoids grievance procedures and contributes to a healthy working relationship between the Employer and the Union.

LETTER OF UNDERSTANDING

between

CARLETON UNIVERSITY

and


CUPE 4600 (Unit 1)

WHEREAS the Employer and the Union agree that the Union does not have any jurisdiction over the determination of scholarships or bursaries offered by the Faculty of Graduate and Postdoctoral Affairs.

NOW THEREFORE the Employer confirms that for the duration of this Collective Agreement, no scholarship or bursary offered by the Faculty of Graduate and Postdoctoral Affairs to any graduate student employed in the bargaining unit will be reduced as a result of the negotiated rates of pay in this Agreement.

DATED at Ottawa Ontario, this 7th day of February, 2024.

FOR THE EMPLOYER:


Catherine Khordoc (Feb 5, 2024 13:04 EST)


Catherine Khordoc, Deputy Provost,
Co-Lead Negotiator



Amy Wyse, Director, Labour Relations
(Academic), Co-Lead Negotiator



Dwight Deugo, Associate Vice-
President (Quality Assurance)



Brenda O'Neill, Dean, Faculty of Public
Affairs

FOR THE UNION:



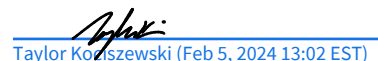
Zoë Abernethy, Chief Negotiator


Noreen Cauley-Le Fevre (Feb 5, 2024 09:11 EST)

Noreen Cauley-Le Fevre, President



Codie Fortin Lalonde, Business Agent
and Organizer


Taylor Kociszewski (Feb 5, 2024 13:02 EST)

Taylor Kociszewski, CUPE National
Representative



Angela Marcotte, Associate Vice-
President (Financial Services)



Josh Hruschka, Senior Labour
Relations Officer (Academic)



Bana Al Tahir, Academic Relations
Coordinator

LETTER OF UNDERSTANDING

between

CARLETON UNIVERSITY (the “University”)

and

CUPE Local 4600 Unit 1 (the “Union”)

Re: Developing a Centralized Accommodations System


WHEREAS it is the purpose of this Letter of Understanding to initiate the development of a Centralized Accommodations System for Employees.

NOW THEREFORE, the Parties agree to the following:

1. That the University will develop a centralized online intake system for accommodation requests that is accessible, including investigating whether an opt-in for the Employee to notify the Union of the request is feasible and in compliance with relevant privacy regulations;
2. That the system will be fully compliant with the Ontario *Human Rights Code*;
3. That the University will consult with the Union via the JCAA on the development of the centralized online intake system. The development of the centralized online intake system will be a standing agenda item at the JCAA;
4. That the University will provide an annual report on the number of accommodation requests that were received through the centralized online intake system.

DATED at Ottawa Ontario, this 7th day of February, 2024.

FOR THE EMPLOYER:


Catherine Khordoc (Feb 5, 2024 13:04 EST)

Catherine Khordoc, Deputy Provost,
Co-Lead Negotiator

FOR THE UNION:



Zoë Abernethy, Chief Negotiator



Amy Wyse, Director, Labour Relations
(Academic), Co-Lead Negotiator



Dwight Deugo, Associate Vice-
President (Quality Assurance)



Brenda O'Neill, Dean, Faculty of Public
Affairs



Angela Marcotte, Associate Vice-
President (Financial Services)



Josh Hruschka, Senior Labour
Relations Officer (Academic)



Bana Al Tahir, Academic Relations
Coordinator



[Noreen Cauley-Le Fevre \(Feb 5, 2024 09:11 EST\)](#)

Noreen Cauley-Le Fevre, President



Codie Fortin Lalonde, Business Agent
and Organizer



[Taylor Kociszewski \(Feb 5, 2024 13:02 EST\)](#)

Taylor Kociszewski, CUPE National
Representative

LETTER OF UNDERSTANDING

between

CARLETON UNIVERSITY

and


CUPE 4600 (Unit 1)

Re: Preference for Undergraduate TAs

The Parties agree with the principle that undergraduate TA's who have worked in the past shall have, subject to satisfactory performance, preference for available work for which they are qualified. The Parties further agree to discuss the matter at JCAA with a view to the implementation of this Agreement.

DATED at Ottawa Ontario, this 7th day of February, 2024.

FOR THE EMPLOYER:


[Catherine Khordoc \(Feb 5, 2024 13:04 EST\)](#)

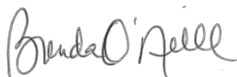
Catherine Khordoc, Deputy Provost,
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Amy Wyse, Director, Labour Relations
(Academic), Co-Lead Negotiator



Dwight Deugo, Associate Vice-
President (Quality Assurance)

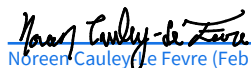


Brenda O'Neill, Dean, Faculty of Public
Affairs

FOR THE UNION:



Zoë Abernethy, Chief Negotiator



[Noreen Cauley-Le Fevre \(Feb 5, 2024 09:11 EST\)](#)

Noreen Cauley-Le Fevre, President



Codie Fortin Lalonde, Business Agent
and Organizer



[Taylor Kociszewski \(Feb 5, 2024 13:02 EST\)](#)

Taylor Kociszewski, CUPE National
Representative



Angela Marcotte, Associate Vice-
President (Financial Services)



Josh Hruschka, Senior Labour
Relations Officer (Academic)



Bana Al Tahir, Academic Relations
Coordinator

LETTER OF UNDERSTANDING

between

CARLETON UNIVERSITY (the “University”)

and

CUPE Local 4600 Unit 1 (the “Union”)

Re: Work Performed During the End of Year University Closure Period

WHEREAS Article 19.01 specifies days Employees are not required to work including any day the University is closed in accordance with the schedule in the academic calendar; and

WHEREAS it is anticipated that the University will close between December 25, 2023 - January 3, 2024 and from December 25, 2024 through to the day in January 2025 that the University reopens as specified in the academic calendar.

NOW THEREFORE notwithstanding Article 19.01, the Parties agree to the following:


1. TAs shall not be asked or required to work on Christmas Day, Boxing Day, and New Year's Day or, the substitute holiday, if applicable.
2. At the midterm meeting and no later than November 1, subject to the prior approval of the Dean or their designate, TAs shall be informed by their Supervisor of a request to work during the University Closure Period. Once informed of the request, TAs shall have until November 15 to elect one of the following options:
 - a. Complete the assigned duties during the University Closure Period and receive an additional ten dollars (\$10) premium pay above their hourly rate of pay as per Article 23, less the usual and necessary deductions.
 - b. Decline the request to complete duties during the University Closure Period, thereby forfeiting the premium pay as per paragraph (a) above, and per Article 15.05 (b), complete the required hours within the first three (3) working days following the reopening of the University in January.
 - c. Accept a rearrangement of assigned duties, where practicable and as determined by the Supervisor per Article 14.03, to be completed within the term prior to the University Closure Period.

Where a TA does not elect one of the options above by November 15, they shall have forfeited the premium pay in paragraph (a) above and shall be required to complete the assigned duties pursuant to paragraph (b) above.

3. This Letter of Understanding does not apply should the University decide not to close between December 25-31, 2023, or December 25-31, 2024.
4. The Parties shall discuss this Letter of Understanding at the first JCAA meeting following the University Closure Period in January 2024 and January 2025. The Parties shall also discuss the effectiveness of this LOU at the JCAA before the conclusion of this Collective Agreement.
5. This Letter of Understanding expires on January 31, 2025.

DATED at Ottawa Ontario, this 7th day of February, 2024.

FOR THE EMPLOYER:


Catherine Khordoc (Feb 5, 2024 13:04 EST)

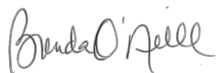
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Brenda O'Neill, Dean, Faculty of Public
Affairs



Angela Marcotte, Associate Vice-
President (Financial Services)

FOR THE UNION:




Zoë Abernethy, Chief Negotiator


Noreen Cauley-Le Fevre (Feb 5, 2024 09:11 EST)

Noreen Cauley-Le Fevre, President



Codie Fortin Lalonde, Business Agent
and Organizer


Taylor Kociszewski (Feb 5, 2024 13:02 EST)

Taylor Kociszewski, CUPE National
Representative



Josh Hruschka, Senior Labour
Relations Officer (Academic)



Bana Al Tahir, Academic Relations
Coordinator


CU-CUPE4600 (Unit 1) Collective Agreement (Final) December 18 2023


Final Audit Report

2024-02-07

Created:	2024-02-02
By:	VPA Academic Labour Relations (IVPAAcademicLabourRelations@cunet.carleton.ca)
Status:	Signed
Transaction ID:	CBJCHBCAABAAiNv8aw6eFUnl3oF0HISs7ggt4-6QxoT7


"CU-CUPE4600 (Unit 1) Collective Agreement (Final) December 18 2023" History


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Agreement completed.

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